



Patent

JULY 16 2004

Attorney Docket No.: MTI-31470

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Zheng, Lingyi A.
Serial No. : 09/912,041
Confirmation No. : 4539
Filing Date : July 24, 2001
For: Improved Cell Nitride Nucleation on Insulative Layers and
Reduced Corner Leakage of Container Capacitors

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

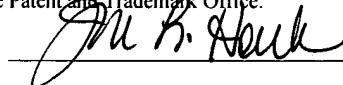
Mailing

deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. **37 CFR 1.8(a)**

with sufficient postage as first class mail As "Express Mail Post Office to Addressee" Mailing Label No. EV405079873US **37 CFR 1.10**

transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office. **Transmission**

Date: June 16, 2004



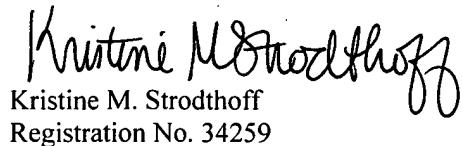
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97/98

In compliance with Applicant's duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents and other publications known to the Applicant which may be considered material to the patentability of the claims of the above-captioned application.

The Applicant respectfully requests that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present applicant, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,



Kristine M. Strothoff
Registration No. 34259

Dated: June 15, 2004

P.O. ADDRESS:

WHYTE HIRSCHBOECK DUDEK S.C.
555 East Wells Street, Suite 1900
Milwaukee, Wisconsin 53202-3819
(414) 273-2100
Customer No.: 31870

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Alexandria, VA 22313		ATTY. DOCKET NO. MTI-31470	Serial No. 09/912,041
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant Lingyi A. Zheng	Confirmation No. 4539
		Filing Date July 24, 2001	Group Art Unit 2812

JUN 16 2004
U.S. PATENT & TRADEMARK OFFICE

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial		Non-Patent Document
	A1	Van Zant, Peter, <i>Microchip Fabrication, A Practical Guide to Semiconductor Processing</i> , 4 th ed., McGraw-Hill, New York, pp. 337-357 and 363-366 (2000)
	A2	Wolf and Tauber, <i>Silicon Processing for the VLSI Era, Vol. 1: Process Technology</i> , 2 nd ed., Lattice Press, Sunset Beach, Cal., pp. 202-206 and 371-433 (2000)

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	